### **Introduction to Course**

### Holly Taylor, PhD, MPH Department of Bioethics, NIH Clinical Center





## Disclaimer

The views expressed in this talk are my own. They do not represent the position or policy of the NIH, DHHS, or US government





# **Course Objectives**

- Utilize a systematic framework for evaluating the ethics of a clinical research protocol.
- Identify, define, and consider ethical issues in the conduct of human subject research
- Apply appropriate codes, regulations, and other documents governing the ethical conduct of human subject research to their own research.
- Describe the purpose, function, and challenges of IRBs
- Identify the critical elements of informed consent and strategies for implementing informed consent for clinical research





# **Course Objectives**

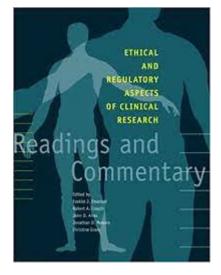
- Identify and apply relevant considerations for assessment of research risks and benefits
- Explore the ethical requirement of fair subject selection and its application
- Appreciate ethical challenges with conducting international collaborative research in low- and middle-income countries
- Apply knowledge gained in course to the inclusion of novel technology in the design and implementation of research





## **Overview**

Session	Date	Topics	Faculty
1	9/20/23	Introduction/Framework/History/Institutional	Taylor, Grady, Lederer
		Review Boards	
2	9/27/23	Informed Consent/Decision Making/Capacity	Grady, Kim, Brintnall,
		Assessment	Taylor
3	10/4/2023	Study Design/Risk-Benefit/Social Value/Inclusion	Taylor, Wendler, Shah
		of Children in Research	
4	10/11/23	Incidental Findings/Return of Results/Inclusion	Berkman, Jamal, Claw
		Indigenous Populations	
5	10/18/23	Equity/Subject Selection/Recruitment and	Asada, Taylor, Wendler
		Retention	
6	10/25/23	International/Standards of Care/Post-trial	Rid, Millum, Kamuya
		Obligations/Community Engagement	
7	11/1/23	Wearables/Brain Implants/LLM	Gross, Hendriks,
			Rahimzedah



### Livestream 8:30-11:30 am Eastern





# **Administrative Details**

- Self-Enrollment (link to Canvas)
  - Certificate or not
  - Access to all course materials
- Self-Registration (on Canvas)
  - Required for Certificate, MNA, NIH Curriculum
- Session Quiz (on Canvas)
  - Required for Certificate (at least 3): word-of-theday, two questions





# **Administrative Details**

- Session Evaluation (we will send link by email)
  - Sent to all who self-enroll
- Pre-Course/Post-Course Assessment (we already sent link by email)
  - Sent to all who self-enroll





ERACR 2023	> Modules	
Home	Collapse All	<u>∎</u> View Course Stream
Announcements Assignments		S View Course Calendar
Discussions	✓ Key Documents	
Grades People	Self Registration Form Oct 11	To Do
Files	Course Basics 2023.pdf	Ethical and Regulatory Aspects of Clinical Research 2023 Oct 11 at 11:59pm
Syllabus Quizzes	Ø DRAFT Syllabus 8 23 23.pdf	
Modules Collaborations	Continuing Education Slide 2023.pdf	
Collaborations	Principles and Benchmarks for Ethical Clinical Research.pdf	
	P Bios of Department of Bioethics Faculty	
	Session 1: Ethical Framework/History of Research Ethics/Institutional Review Boards	
	Textbook Pages 1-25.pdf	
	Textbook Pages 25-38.pdf	





	ERACR 2023 > M	odules		
Cocount  Co	ERACR 2023 > M Home Announcements Assignments Discussions Grades People Files Syllabus Quizzes Modules Collaborations	<ul> <li>Key Documents</li> <li>Self Registration Form Out 11         Out 12         Out 202.pdf         Out 202.pdf         Onthuing Education Slide 2023.pdf         Onthuing Education Slide 2023.pdf         Principles and Benchmarks for Ethical Clinical Research.pdf         Bios of Department of Bloethics Faculty         </li> </ul>	Collapse All	• View Course Stream                  • View Course Calendar                 · View Course Notifications <b>To Do</b> * Self Registration Form             Argulatory             Argu
		<ul> <li>Session 1: Ethical Framework/History of Research Ethics/Institutional Review Boards</li> <li>Session 1.docx</li> <li>Textbook Pages 1-25.pdf</li> <li>Textbook Pages 25-38.pdf</li> </ul>		





4.7.N 1	ERACR 2023	> Quizzes > Self Registration Form	
Account	Home	Self Registration Form	
<i>(</i> 6)	Announcements Assignments	Due     Oct 11 at 11:59pm     Questions 5     Available     Aug 14 at 8am - Oct 11 at 11:59pm     Time Limit     None     Allowed Attempts     Unlimited	
ashboard	Discussions	Instructions	
Courses	Grades People	You must complete this set of questions to be eligible for a Certificate of Completion	
alendar	Files	Take the Survey	
Inbox	Syllabus Quizzes		
U History	Modules	Next >	
(i) Help	Collaborations		٩





Home       Collapse All       It View Course Stream         Announcements       Assignments       It View Course All       It View Course Stream         Discussions       • Key Documents       It View Course All       It View Course All         Profile       • Self Registration Form Oction       • Course Basics 2023.pdf       It View Course All       It View Course All         View Course All       • Course Basics 2023.pdf       • Course Basics 2023.pdf       It View Course All       It View Course All         View Course All       • Course Basics 2023.pdf       • Continuing Education Slide 2023.pdf       It view Course All       It view Course All         Modules       • Continuing Education Slide 2023.pdf       • Continuing Education Slide 2023.pdf       It view Course All       It view Course All         It view Course All       • Principles and Benchmarks for Ethical Clinical Research.pdf       • Principles and Benchmarks for Ethical Clinical Research.pdf
Session 1: Ethical Framework/History of Research Ethics/Institutional Review Boards



Co

Cal



## **Session Summaries**

- Objectives
- Schedule
- Readings

Lecture PPTs posted at 8:00 am on day of class

### Session 1: Introduction/Framework/History/Institutional Review Boards September 20

### Objectives:

- Identify and describe the ethical principles and historical basis that provide guidance for the ethical conduct of research.
- Describe important cases in the history of research and how cases shaped current ethical considerations, and regulations for clinical research.
- Describe ethical framework to be applied throughout course
- Understand the basis of the role and responsibilities of an Institutional Review Board

Time	Topic	Faculty
8:30-8:45	Introduction to Course	Holly Taylor, PhD MPH
		NIH Clinical Center Department of Bioethics
8:45-9:30	Framework for Ethical Conduct	Christine Grady, RN PhD
	of Research	NIH Clinical Center Department of Bioethics
9:30-9:40	Discussion	
9:40-10:25	History of Research Ethics	Susan E. Lederer, Ph.D. Ronald L. Numbers Professor of Medical History and Bioethics University of Wisconsin School of Medicine and Public Health
10:25-10:35	Discussion	
10:35-10:50	Break	
10:50-11:20	Institutional Review Boards	Holly Taylor, PhD MPH NIH Clinical Center Department of Bioethics
11:20-11:30	Discussion	

### Readings Assignment

### Textbook

Part I: Scandals and Tragedies of Research with Human Participants: Nuremberg, the Jewish Chronic Disease Hospital, Beecher and Tuskegee (Overview and Chapters 1-4; pp. 1-25)

Part II: Ethical and Regulatory Guidance for Research with Humans (Overview and Chapters 5-7; pp. 25-38)

Part X: Challenges to the Institutional Review Board System (Chapter 85; pp-436-440)

### Journal Articles

Emanuel E, Wendler D, & Grady C. What Makes Clinical Research Ethical JAMA 2000; 283 (20): 2701-2711.

Grady C. Institutional Review Boards: Purpose and Challenges. Chest. 2015; 148(5):1148-55.

US Federal Regulations

Common Rule, 45 CFR 46 (2018) https://www.hhs.gov/ohrp/regulations-andpolicy/regulations/45-cfr-46/revised-common-rule-regulatory-text/index.html

### Optional

Jones DS, Grady C, Lederer S. "Ethics and Clinical Research" — The 50th Anniversary of Beecher's Bombshell. New England Journal of Medicine 2016; 374(24): 2393-2398.

Strauss DH, White SA, Bierer BE. Justice, Diversity, and Research Ethics Review. Science 2021;371(6535):1209-1211.

Rollin F, Van Doren V, Alvarez J, Rousselle R, Bussey-Jones J. Antiracist Structural Intervention at the Emory University Institutional Review Board. *Ethics and Human Research* 2023; https://doi.org/10.1002/ethirs.90174





	Home Announcements Assignments	<ul> <li> <i> <i>P</i>rinciples and Benchmarks for Ethical Clinical Research.pdf             <i> <i> </i></i></i></li></ul>		
Dashboard	Discussions Grades	Session 1: Ethical Framework/History of Research Ethics/Institutional Revie	ew Boards	
	People	Session 1.docx		
Courses	Files Syllabus			
Calendar	Quizzes	Textbook Pages 25-38.pdf		
Inbox	Modules Collaborations	Textbook Pages 436-440.pdf	Links to Readings	
History		Emanuel et al. (2000) What Makes Clinical Research Ethical.pdf		6
) Help		The Belmont Report (1979)		
		Grady (2015) IRB: Purposes and Challenges 2015.pdf		
		2018 Requirements (2018 Common Rule) _ HHS.gov.pdf		
		Subpart B – Additional Protections for Pregnant Women, Human Fetus	es and Neonates Involved in Research _ HHS.gov.pdf	
		Subpart C – Additional Protections Pertaining to Biomedical and Behav	ioral Research Involving Prisoners as Subjects _ HHS.gov.pdf	
		Subpart D – Additional Protections for Children Involved as Subjects in	Research _ HHS.gov.pdf	
		$\mathscr{S}$ Consideration of the Principle of Justice under 45 CFR part 46 Secretary	's Advisory Committee on Human Research Protections (2021)	





## **Asking Questions**

4.7.5 1	ERACR 2023	> Module	:5			
Account	Home				Collapse All	<u>III</u> View Course Stream
Courses	Assignments Discussions		ERACR 2023 > I	Discussions > Post Questions for Session 1 Here		
Calendar	People	Account	Announcements			: <b>x</b>
Inbox	Files Syllabus	Dashboard Courses	Assignments Discussions Grades	Post Questions for Session 1 Here Holy Taylor (She/Her) All Sections		
History	Quizzes Modules	Calendar	People	Post Questions for Session 1 Here Search entries or author Unread		√ Subscribe
(i) Help	Collaborations	inbox	Syllabus Quizzes			Subscribe
		History	Modules Collaborations			(
		Help				





## **Asking Questions**

### Send email to:

### Bioethics-Inquiries@mail.nih.gov



National Institutes of Health



# **Session Quizzes**

- If you don't want Certificate of Completion, don't need to take Session Quizzes
- If you want a Certificate of Completion, you must take at least 3 of 7
- If you are an NIH employee/contractor and want Core Curriculum credit or are a Nurse and want CEU, you must take at least 6 of 7
- Score does not matter





## **Session Quizzes**

АТА 1	ERACR 2023	> Module	es			
Account	Home Announcements				Collapse All	Li View Course Stream
LSJ Dashboard Calendar Int History Help	Assignments Discussions Grades People Files Cymboles Quizzes Durbu Collaborations	Account Dashboard Courses Courses History Help	ERACR 2023 > C	Search for Quiz      Session 1 Quiz       Session 1 Quiz Net available until Sep 20 at 11:00m   3 pts   3 Questions     Session 2 Quiz Net available until Sep 27 at 11:00m   3 pts   3 Questions     Session 4 Quiz Net available until Oct 11 at 11:00m   3 pts   3 Questions     Session 5 Quiz Net available until Oct 11 at 11:00m   3 pts   3 Questions     Session 5 Quiz Net available until Oct 11 at 11:00m   3 pts   3 Questions     Session 5 Quiz Net available until Oct 11 at 11:00m   3 pts   3 Questions     Session 5 Quiz Net available until Oct 11 at 11:00m   3 pts   3 Questions     Session 5 Quiz Net available until Oct 11 at 11:00m   3 pts   3 Questions     Session 7 Quiz Net available until Nev 1 at 8:00m   3 pts   3 Questions     Session 7 Quiz Net available until Nev 1 at 8:00m   3 pts   3 Questions     Session 7 Quiz Net available until Nev 1 at 8:00m   3 pts   3 Questions		





### Session 1

### Session 1: Introduction/Framework/History/Institutional Review Boards September 20

Objectives:

- Identify and describe the ethical principles and historical basis that provide guidance for the ethical conduct of research.
- Describe important cases in the history of research and how cases shaped current ethical considerations, and regulations for clinical research.
- Describe ethical framework to be applied throughout course
- Understand the basis of the role and responsibilities of an Institutional Review Board

Time	Торіс	Faculty
8:30-8:45	Introduction to Course	Holly Taylor, PhD MPH
		NIH Clinical Center Department of Bioethics
8:45-9:30	Framework for Ethical Conduct	Christine Grady, RN PhD
	of Research	NIH Clinical Center Department of Bioethics
9:30-9:40	Discussion	
9:40-10:25	History of Research Ethics	Susan E. Lederer, Ph.D.
		Ronald L. Numbers Professor of Medical
		History and Bioethics
		University of Wisconsin School of Medicine
		and Public Health
10:25-10:35	Discussion	
10:35-10:50	Break	
10:50-11:20	Institutional Review Boards	Holly Taylor, PhD MPH
		NIH Clinical Center Department of Bioethics
11:20-11:30	Discussion	



